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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Birchmeier et al.

Serial No. 09/641,104

Filed on August 17, 2000

For: Means for Therapy of Human Diseases, etc.

Attorney's Docket 0107-028P

Box Missing Parts - No Fee
Hon. Commissioner of Patents and Trademarks
Washington DC 20231

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Completion of filing formalities

Sir:

Further to our submission of missing parts filed on November 3, 2000, enclosed herewith is an executed small entity status form.

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Respectfully submitted,

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It is hereby certified that this is being mailed,
as addressed above, on November 8, 2000

Cynthia A. Pilato

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney's Docket 0107-028

Applicant: Waltern Birchmeyer et al.

Serial No.: 09/641,104

Filed: August 17, 2000

For: Means for Therapy of Human Diseases, etc.

CLAIM FOR SMALL ENTITY STATUS
(Institution of Higher Education, or Nonprofit Institution)

I hereby declare that I am an official empowered to act on behalf of the following

☐ Institution of higher education, orXX ☒ Nonprofit institutionName of Organization: **Max-Delbrück-Centrum für Molekulare Medizin**Address: **Robert-Rössle Straße 10, 13125 Berlin, Germany**

It is hereby declared that the rights in and to the above-identified application or patent have not been assigned or licensed, and that there is no obligation to license or to assign such rights to any organization that, together with any and all of its affiliates, had more than 500 full- and part-time employees within the last 12 months.

The duty is acknowledged in this application or patent, to notify any change in status resulting in loss of entitlement to small entity status, prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date of which status as a small entity is no longer appropriate.

I hereby declare further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration applies.

Date: _____, 2000

Name of signatory: Prof. Dr. Detlev Ganten Dr. Erwin JostTitle of signatory: Scientific Director Admin. Director